TECHNOLOGICKÉ
CENTRUM AV ČR

EIT HEI INITIATIVE CALL 2022

Anna Vosečková, WIDERA NCP, EIT NCP

Informační den k EIT HEI Initiative

24. ledna 2022, Praha

EIT HEI INITIATIVE

As per the EIT SIA 2021-2027, the first three years (2021-2023) of the HEI Initiative have been designated as the pilot phase. After the pilot phase concludes, the EIT Governing Board shall determine if the HEI Initiative will be continued for the remainder of Horizon Europe (2024-2027).

CALL 2022: CONTENT

EIT HEI INITIATIVE

- New initiative to unlock the full innovation potential of Higher Education Institutions (HEIs)
- Main motto: Innovation Capacity Building for HEI

HEI Initiative social media

- Twitter: @EIT_HEI
- LinkedIn: EIT HEI Initiative

HEI Initiative email: hei@eitrawmaterials.eu

- Overarching objectives:
  - ✓ Strengthen innovation & entrepreneurial capacity
  - ✓ Empower HEIs to become engines of innovation in order to systematically contribute to a carbon-neutral, digital and circular society
  - ✓ Integrate and engage with innovation ecosystems

SPECIFIC OBJECTIVES

- Encourage HEIs to look at their own practices and the opportunities for increasing their impact in ecosystems in Europe
- Ignite an entrepreneurial culture and mindset which inspires people to transform and catalyse their knowledge and expertise into tangible, societal value
- Extend the impact of the EIT beyond the EIT KICs and thereby contribute to the EIT's core mission of boosting sustainable, inclusive economic growth and competitiveness by reinforcing the innovation capacity of Europe
- Leverage and make available the innovation and entrepreneurial knowledge base created in the EIT KICs
- Unite EIT KIC partners and non-partners to collaborate, co-create and mutually learn

IMPLEMENTATION PHASES

Phase 1: July – December 2022 (max. funding € 300 000)

- A minimum of 65% of funding must be allocated to HEIs which are not already existing partners of an EIT KIC at the start of the HEI project
- A need to fulfil the following criteria before entering to Phase 2:
  - Delivery and validation of the EIT KPIs included in the IVAP (se Section 2.2 and Annex 4.1)
  - Achievement of key milestones in Phase 1 in the multi-annual HEI transferability plan to achieve institutional change in Phase 2
  - Submission of an updated IVAP

Phase 2: January – December 2023 and January – June 2024 (max. funding € 900 000)

- Upon satisfactory completion of Phase 1
- A minimum of 65% of funding must be allocated to HEIs which are not already existing partners of an EIT KIC at the start of the HEI project

BUDGETARY ISSUES

- **Budget per project**
  - Phase 1: max. € 300 000
  - Phase 2: max. € 900 000
- **Total budget per project and duration**
  - maximum funding is M€ 1,2
  - total maximum duration is 24 months
- **Reimbursement of eligible costs** – HE Rules for Participation apply
- **Funding rate**: 100% (but co-funding is encouraged)
- **Costs**: reasonable, justified and directly related to the achievement of the objectives
- **Clear breakdown of eligible costs** per WP, consortium member, and per Phase 1 & Phase 2 (included in the "Budget" tab of the Application Form)

METHODOLOGY

- Consortia submit applications through open HEI Call
- HEIs to carry out an HEInnovate self-assessment to explore their innovative potential and explain how application may fit with regional priorities
- On the basis of the HEInnovate self-assessment results, HEIs create an Innovation Vision Action Plan (IVAP)
  - Long-term view to 2030 towards innovation and entrepreneurial capacity
  - Address all actors and levels within the education value chain through concrete Actions
  - Co-create, innovate and use the knowledge base in the EIT KICs –brought via EIT KIC partners + HEI Initiative repository (demonstrate contribution to strategic objectives of at least two EIT KICs)
- Implement IVAP in two phases, with transferability plan illustrating how to scale
- Regional engagement and impact, and post-project opportunities through other complementary instruments, such as Smart Specialisation Strategies (S3)

IVAP – SIX ACTIONS TO CHOOSE

THE IVAP – DOMAINS AND ACTIONS

Domain 1: Fostering institutional engagement and change

- Secure and maintain institutional engagement
- Student engagement activities
- Infrastructure development
- Multi-disciplinary support structures
- Set up or improve organisational units

Domain 2: Strengthening partnerships (knowledge triangle integration)

- New collaborations
- Exchange good practices
- Collaborate with the EIT KICs

Domain 3: Contribution to developing innovations and businesses

- Structures for creating start-ups
- Structures for innovation-driven research
- Testbeds & platforms

Domain 4: Enhancing the quality of innovation and entrepreneurial education

- Innovation and entrepreneurial curricula
- Assessment of teaching and learning practices
- Training programmes and mentoring schemes
- Internships in businesses

IVAP – KEY FACTS

- There is **no IVAP template** – answers provided by applicants to the questions in the application cumulatively generate the IVAP

- Applicants are required to articulate a Vision for 2030 with a clear, step-by-step Action Plan leading to lasting Impact
  - Work packages, deliverables, milestones, stakeholders, beneficiaries, budget
  - Indicators and processes to track results and impact
  - Alignment to EIT Impact Framework
  - Risk management and IPR plan

- The HEI application is based on the Horizon Europe framework

- IVAP Kick Workshop in 5-6 July 2022 (for successful projects)

IVAP - ACTION PLAN

- Endorsement in writing to demonstrate commitment:
  - Top management of the HEI (Rector, Chancellor, Vice-Rector/Chancellor, or someone else in the senior management of the HEI)
  - Top management of at least 2 faculties and/or departments in each HE demonstrating commitment
- Establish a clear link between the results of the HEInnovate self-assessment and the implementation of six Actions
- Inclusion of a **transferability plan** demonstrating:
  - How the selected Actions will be transferred and scaled within the institution during the HEI project
  - Outline a detailed plan for further institutionalisation of the IVAP beyond the HEI project funding period
- Develop a training for at least one of the selected Actions (training handbook and other training materials must be made available to the HEI Initiative)
- Use existing innovation & entrepreneurial capacity building resources within the EIT KICs

IVAP – IMPACT AND KPIS

Commitment to achieve a pre-defined minimum number of EIT KPIs in Phase 1 (2022)

- Min 2 start-ups/scale-ups supported
- Min 130 students trained, with a view to innovation & entrepreneurship; thereof, 6 students mentored
- Min 12 academic staff members trained, with a view to innovation and entrepreneurship; thereof, 4 academic staff mentored
- Min 12 non-academic staff(e.g. professional staff , support staff) trained, with a view to innovation and entrepreneurship; thereof, 4 non-academic staff mentored
- Min 1 partnership created as a result of the HEI Initiative
- Min 1 new and/or improved support structure(s) and mechanism(s)
- The EIT KPIs must be increased in Phase 2 (2023 and 2024)
- The IVAP must demonstrate it is able to achieve minimum one EIT Core KPI

ELIGIBLE PARTICIPATING ORGANISATIONS

- Applicant/coordinator must be an HEI
  - *Higher Education Institutions (HEIs): institutions which offer recognised degrees or other tertiary level qualifications and other comparable institutions at tertiary level* ***(proof of accreditation by the corresponding national authorities must be submitted)***
- Public or private, small, medium or large enterprises (including social enterprises)
- Research institutes
- Public bodies at local, regional or national level
- Intermediary organisations or associations which represent HEIs

CONSORTIUM COMPOSITION 1

- Minimum four organisations (no max. number is set)
- Project consortium must consist of:
  - A minimum of three HEIs from three different countries, as follows
    - One HEI must be the applicant/coordinator
    - A minimum two other HEIs in the consortium must be full partners
    - Minimum one HEI shall be an existing partner of an EIT KIC
    - Majority of HEIs shall not be partners of an EIT KIC
  - A minimum of one actor from another side of the Knowledge Triangle (e.g. regional/territorial authorities, VET organisations, RTOs, SMEs and start-ups)

List of KIC partners

CONSORTIUM COMPOSITION 2

- A broad geographical scope, especially involving organisations established in RIS-eligible countries, is strongly encouraged.
- It is suggested that relevant regional/territorial authorities be consulted in the process of HEI application development
- Existing consortia of HEIs, such as the European University Alliances funded by the European University Initiative, are encouraged to submit an application.

TYPE OF PARTNERS

- **Full partner: Receives funding and signs GA**
- **Associated partner: Does NOT receive funding and does NOT sign GA**
- Full partners involved in HEI Pilot Call selected projects:
  - May NOT participate as a full partner in an application submitted to HEI Cal 2
  - May participate as an associated partner in an application submitted to HEI Call 2
  - An application submitted to HEI Call 2, which includes an organisation involved as a full partner in a HEI Pilot Call selected project, will not be eligible for funding
- Associated partners involved in HEI Pilot Call selected projects:
  - May participate as a full partner in an application submitted to HEI Call 2
  - May participate as an associated partner in an application submitted to HEI Call 2

OPERATIONAL MODALITIES

BENEFICIARY MODALITY

- All organisations in selected projects may receive financial support as a “beneficiary” of the overarching GA between the EIT and the corresponding EIT KIC
- All organisations would be required to accede to the corresponding GA (this does not result in automatic EIT KIC membership for non-partner organisations
- Non-partner organisations would be treated as a “beneficiary” including the rights and obligations as any other beneficiary in a Horizon Europe project.

SUB-GRANTING MODALITY

- Non-partner organisations involved in selected HEI projects may receive financial support as “a recipient of financial support to third parties”
- In this case the applicant must confirm in the application which EIT KIC partner would be the “sub-grantor” providing the financial support to third parties.

HEI INITIATIVE – 2ND CALL 2021 (28. 2. 2022)

USEFULL LINKS

HEI INITIATIVE WEB

HEI CALL 2 TEXT

MATCHMAKING TOOL

APPLICATION PORTAL

CONSORTIUM

- **Project consortium: min. 4**
  - ✓ A minimum of 3 HEIs from 3 different countries
    - Coordinator must be an HEI
    - Min. 2 other HEIs must be full partners
    - Min. 1 HEI shall be an existing partner of an EIT KIC (majority of HEIs shall NOT be partners of an EIT KIC)
  - ✓ Min. 1 actor from another side of the Knowledge Triangle (e.g. regional/ territorial authorities, VET organisations, RTOs, SMEs and start-ups).

APPLICATON INTERFACE AND PROPOSAL STRUCTURE

GUIDANCE AND PROPOSAL STRUCTURE

INSTRUCTIONS

- Please answer all fields on all tabs
- Your application will acquire the status of **Draft** as soon as you start saving
- You can save your application and come back to it later
- Your application will remain in the draft status until you Submit it
- Only submitted applications will be considered for evaluation
- You can edit or modify your application for as long as you haven't submitted it.
- You cannot edit or modify your application beyond a deadline

STRUCTURE

1. General Information
2. Applicant Information
3. Associated Partners
4. Domains and Actions
5. KIC Strategic Agendas
6. Application Content
7. Project Budget

1. GENERAL INFORMATION

- Pilot project acronym
- Proposal title
  - *should be clear and understandable to a wider society audience*
- Proposal executive summary (max. 3 000 characters)
  - *Describe your pilot project, its objectives and how implementation of your IVAP will lead to institutional change, within and outside the pilot project funding period. Summarize the actions and intended results of your IVAP. Explain the type and number of stakeholders who will benefit from your pilot project, within and outside the pilot project funding period. The proposal executive summary will be used to disseminate information about your pilot project*

2. APPLICANT INFORMATION

- Participant No. 1 - Coordinator/Applicant
  - Participant Type: Higher education institution (HEI)
  - Participant legal name
  - Do you have an Erasmus Charter or Erasmus OID Number?
  - Copy of the accreditation (upload as PDF)
  - FTOP Participant Identification Code (PIC)
  - Address
  - Partner of which EIT KIC(s) or none
  - Contact Person Details and Faculty
  - Upload Commitment Letter from top management
  - Upload results of HEInnovate self-assessment
  - Upload the signed commitment letter from the dean or head of department (min 2 faculties)
- Participant No. 2 + 3 (dtto), 4 and others (can choose its type in a drop down menu)

3. ASSOCIATED PARTNERS

- Associated Partners contribute to the implementation of specific project tasks/activities or support the dissemination and sustainability of the results achieved. For contractual management issues, “associated partners” are not considered as part of the project partners, and they do not receive funding.

- Associated partner legal name
- Associated partner type (drop down menu)
- Associated Partner country
- Upload a signed letter of intent describing their commitment and role in the project

4. DOMAINS AND ACTIONS

HEI Application Interface

General Information | Applicant Information | Associated Partners | Domains and Actions | KIC Strategic Agendas | Application Content | Project Budget

Domains and Actions: Please answer **all** questions

EIT KPIs

Indicate the number of EIT KPIs your project will achieve in Phase 1 (July 2022-December 2022) and Phase 2 (January 2023 – June 2024). Keep in mind the minimum number of EIT KPIs to be achieved and validated in 2021

EIT KPI	Phase 1 (July 2022-December 2022)	Phase 2A (January 2023 – December 2023)	Phase 2B (January 2024-June 2024)	Total Phase 1 + Phase 2
# start-up/scale-ups supported				
# students trained				
# students mentored				
# academic staff member trained				
# academic staff members mentored				

Save and continue

5. KIC STRATEGIC AGENDA

- Applicants must demonstrate through their IVAP that they are able to contribute to the strategic objectives and missions of at least two EIT KICs. In the list below **each EIT KIC is hyperlinked** so you can find out more information on the Strategic Agendas of each one.

  Please indicate which KICs' Strategic Agenda Objectives match the aims of your project by selecting a **minimum of two** EIT KICs from the list.

6. APPLICATION CONTENT (SECTION 1)

EXCELLENCE (max. 10 pages)

- **Vision and Objectives (max. 2 pages – 6 000 characters)**

✓ Define the **expected Vision for 2030** that your consortium intends to achieve through participation in the HEI Initiative and implementation of your Innovation Vision Action Plan (IVAP).

✓ List and describe the **specific objectives** of your proposal. Explain how the objectives listed in your proposal relate to those described in the HEI Initiative Pilot Call for Proposals. Elaborate on which objectives will be achieved in phase 1 and phase 2 of your project, and also after your project ends.

✓ How are your objectives pertinent to the objectives of the HEI Initiative? Are your objectives measurable and verifiable? Are your objectives realistically achievable?

6. APPLICATION CONTENT (SECTION 1)

- **Methodology (max. 8 pages – 24 000 characters)**

✓ Overall methodology, including concepts, models and assumptions that underpin your proposal. Illustrate how the results of HEInnovate self-assessments contribute to the development of IVAP.

✓ Provide an overview (with URL, project name, duration etc.) of existing or past (within 5 years) innovation and entrepreneurial capacity building activities funded and/or supported by the EIT KICs, national, and/or European or other programmes, which are relevant for your project.

✓ Explain how expertise and methods from different disciplines will be brought together and integrated in the pursuit of your objectives. Describe how the integration of the Knowledge Triangle will facilitate the achievement of the project objectives.

✓ Describe how the gender dimension (i.e. sex and/or gender analysis) is taken into account in the project. Remember that that this question relates to the content of the planned activities, and not to gender balance in the teams in charge of carrying out the project.

6. APPLICATION CONTENT (SECTION 2)

IMPACT (max. 10 pages)

(very extensive and comprehensive description)

- **Project pathways toward impact (max. 5 pages – 15 000 characters)**

✓ Provide a narrative explaining how the project's results are expected to make a difference in terms of impact, beyond the immediate scope and duration of the project. The narrative should include the components below, tailored to your project

- **Measures to maximize impact – Transferability, exploitation, dissemination and communication (max. 5 pages - 15 000 characters)**

✓ Describe a transferability plan that demonstrates how the selected Actions will be transferred and scaled within the participating institutions during phase 1 and phase 2

✓ Communication, Dissemination and Exploitation, IP management

6. APPLICATION CONTENT (SECTION 3)

QUALITY AND EFFICIENCY OF IMPLEMENTATION (max. 10 pages)

- **Work plan (max. 7 pages – 21 000 characters)**

✓ Brief presentation of the overall structure of the work plan;

✓ Timing of the different work packages and their components, supplemented with a Gantt chart, clearly distinguishing between phase 1 and phase 2; Graphical presentation of the components showing how they inter-relate (e.g. Pert chart or similar)

✓ Detailed work description, i.e.: a list of work packages (max 10) with the following (detailed instructions) provided per work package in narrative form; narrative to be supplemented and qualified with data entered into provided templates

- **Capacity of participants and consortium as a whole (max. 3 pages – 9 000 characters)**

✓ Describe the consortium, how the members complement one another and address the integration of the Knowledge Triangle and in what way does each of them contribute to the project, has a valid role, and adequate resources

6. APPLICATION CONTENT (SECTION 3)

ATTACHMENTS

To be uploaded:

- ✓ Gantt chart
- ✓ Graphical presentation of work packages (e.g. Pert chart)
- ✓ Deliverable Table (template available)
- ✓ Milestone Table (template available)
- ✓ Risk Table (template available)
- ✓ Declaration of Honour (template available)

7. PROJECT BUDGET

Phase 1 Budget (July 2022-December 2022)

- ✓ Work Package Aggregated Overview
- ✓ Work Package Detailed Overview (extra table for each WP)

Phase 2A Budget (January 2023 – December 2023)

- ✓ Work Package Aggregated Overview
- ✓ Work Package Detailed Overview (extra table for each WP)

Phase 2B Budget (January 2024- June 2024)

- ✓ Work Package Aggregated Overview
- ✓ Work Package Detailed Overview (extra table for each WP)

SUBMIT

Cost categories:

Personnel; Subcontracting; Financial support to third parties (Grants, Prizes); Travel and subsistence; Equipment; Other goods, works and services; Internally invoiced goods and services; Indirect costs;

FAQS AND CONTACTS

FAQS = FACT SHEET

EIT HEI Call 2: Fact sheet

Why has the European Institute of Innovation & Technology (EIT) launched an initiative to boost innovation in higher education institutions (HEIs)?

- This is a key objective for the EIT as part of its new strategy, the EIT Strategic Innovation Agenda (SIA) 2021-2027.
- The European Commission has entrusted the EIT, based on its experience in fostering innovation to increase the entrepreneurial and innovation capacity of Europe's higher education sector by promoting and supporting institutional change in higher education institutions and their integration in innovation ecosystems.

What is the EIT HEI Initiative: Innovation Capacity Building for Higher Education?

- The initiative will help HEIs across Europe to strengthen their ability to innovate.
- More specifically, the initiative aims to encourage these institutions to look at their own practices and develop concrete actions to increase the impact of their innovation and entrepreneurship activities on their local and regional ecosystems.
- Selected projects will receive expert coaching and funding from the EIT Community to support them in achieving these aims.

What type of activities will the initiative support?

CONTACTS - HEI@EITRAWMATERIALS.EU

COORDINATING TEAM

https://eit-hei.eu/about/meet-the-team/

- EIT RawMaterials (Coordinating)
  - **Emma Harris,** HEI Initiative Manager (Acting Head of Initiative)
  - **Ferdinand Bulmer,** HEI Communications Lead
  - **Lotta Aalto,** HEI Project Officer
  - **Steffen Robert,** HEI Project Officer
  - **Fabio Ferri,** HEI Project Officer
- EIT HQ
  - **Marian Belko,** EIT Education Officer / HEI Initiative Steering Committee Member
  - **Ildiko Meny,** EIT Education Support Officer / HEI Initiative Steering Committee Member

KICs MAIN CONTACT

- **EIT Digital:** Roberto Prieto, Education Director / HEI Initiative Evaluation Committee Member (ECM)
- **EIT Climate-KIC:** Solla Zophoniasdottir, Education Director / HEI Initiative ECM
- **EIT Health:** Celine Carrera, Education Director / HEI Initiative ECM
- **EIT Food:** Maarten van der Kamp, Education Director / HEI Initiative ECM
- **EIT Manufacturing:** Paola Fantini, Education Director / HEI Initiative ECM
- **EIT Urban Mobility:** Martin Vendel, Education Director / HEI Initiative ECM
- **EIT InnoEnergy:** Frank Gielen, Education Director / HEI Initiative ECM

QUESTIONS?

Anna Vosečková
WIDERA NCP, EIT NCP

+420 234 006 236, +420 606 062 739
voseckova@tc.cz